



INTELLECTUAL PROPERTY DEPARTMENT
HUNTON & WILLIAMS LLP
1900 K STREET, N.W.
WASHINGTON, D.C. 20006-1109

TEL 202 • 955 • 1500
FAX 202 • 778 • 2201

STEPHEN T. SCHREINER
Direct Dial: (202) 955-1575
EMAIL: sschreiner@hunton.com

November 9, 2004

File No: 47004.000067

Application Number : 09/511,362 Confirmation No.: 4127
Applicant : SULLIVAN
Filed : February 23, 2000
Title : MUTUAL FUND CARD METHOD AND SYSTEM
TC/Art Unit : 3624
Examiner: : A. L. Bashore

Docket No. 47004.000067

Customer No. 21967

MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Transmitted herewith is an amendment in the above-identified application. Fees have been calculated as shown below:

CLAIMS AS AMENDED						
	Claims Remaining After Amendment	Highest Number Previously Paid For	Extra	Rate		Amount
				Large Entity	Small Entity	
Number of Claims in Excess of 20	16	59	0	\$ 18.00	\$ 9.00	\$ 0.00
Independent Claims in Excess of 3	2	4	0	\$ 88.00	\$ 44.00	\$ 0.00
First Presentation of Multiple Dependent Claims				\$ 290.00	\$ 145.00	\$ 0.00
Extension Fee:	a) One Month			\$ 110.00	\$ 110.00	\$ 55.00
	b) Two Months			\$ 420.00	\$ 430.00	\$ 215.00
	c) Three Months			\$ 950.00	\$ 980.00	\$ 490.00
	d) Four Months			\$1480.00	\$1530.00	\$ 765.00
	e) Five Months			\$2010.00	\$2080.00	\$1040.00
Other:						\$ 0.00
TOTAL FEE DUE						\$ 0.00

- ☒ No additional fee is required.
☐ A check in the amount of \$ _____ is attached.
☐ Charge \$ _____ to Deposit Account No. 50-0206.
☒ Charge any additional fees or credit any overpayment to Deposit Account No. 50-0206.

Small Entity Status Claim: ☐ is hereby requested. ☐ is of record in this application.

Respectfully submitted,

By:

Stephen T. Schreiner
Registration No. 43,097

STS/bvh



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number : 09/511,362 Confirmation No.: **4127**
Applicant : SULLIVAN
Filed : February 23, 2000
Title : MUTUAL FUND CARD METHOD AND SYSTEM
TC/Art Unit : 3624
Examiner: : A. L. BASHORE
Docket No. : 47004.000067
Customer No. : **21967**

REQUEST FOR RECONSIDERATION UNDER 37 C.F.R. § 1.111

MAIL STOP: NON-FEE AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I. Introductory Comments

In response to the non-final Office Action issued on November 3, 2004 ("Office Action"), Applicant respectfully requests reconsideration on the basis of the amendment and remarks set forth herein. Applicant submits that the application is in condition for allowance, and respectfully requests same.

Applicant thanks the Examiner for indicating that Claim 33 would be allowable if rewritten. Herein Applicant rewrites independent claims 14 and 37 in accordance with Claim 33 so that the claims should now be allowable. The remaining independent claim sets are canceled.

Applicant also appreciates the courtesies extended by the Examiner during the telephone interview conducted on November 5, 2004.

Amendment to the claims begins on page 2 of this paper.

Remarks begin on page 7 of this paper.